



## Partly Paid Security Client Agreement (ASIC Market Integrity Rule 3.1.9)

### Client agreement

I/We (full names)

Of (address)

Account number

hereby declare that:

1. I/We am/are aware that a Partly Paid Security is a security which may require me/us to make a further payment or payments at some time in the future.
2. I/We am/are aware that it is my/our responsibility to obtain and read a copy of the prospectus, product disclosure statement or information memorandum issued by an Issuer which sets out the particular features of, and rights and obligations attaching to, a Partly Paid Security before I/we place an order to buy a Partly Paid Security.
3. I/we am/are aware that I/we may be liable for further payments on a Partly Paid Security and that a failure to make a further payment by the specified date(s) may result in an Issuer of a Partly Paid Security or their associates or agents taking action, including legal action, against me/us to recover the outstanding payments and/or may result in the forfeiture of my entitlement to the Partly Paid Security.
4. I/We am/are aware that in certain circumstances I/we may be liable to make a further payment on a Partly Paid Security despite the fact that I/we may have disposed of a Partly Paid Security prior to the date that a further payment falls due.
5. I/We am/are aware that I/we should monitor announcements made by the Issuer of a Partly Paid Security and that it is my/our responsibility to inform myself/ourselves of the date/s or circumstances that a further payment falls due and the last day that I/we can dispose of the Partly Paid Security before I/we am/are liable for a further payment.
6. I/We am/are aware that the amount of a further payment may be unrelated to the financial performance of a Partly Paid Security and that the amount of the further payment may exceed the intrinsic value of a Partly Paid Security at the time a further payment falls due.
7. I/We acknowledge that an obligation on me/us in relation to a Partly Paid Security, including an obligation to make a further payment, does not give rise to a claim against ASX or the Securities Exchanges Guarantee Corporation Limited.

Signed this date

Executed as a legally binding contract.

Third Party Platform Pty Ltd Participant of the ASX Group  
ABN: 74 121 227 905 AFS Licence No: 314341

### Attention: New Accounts

Email to: support@sharetrading.hsbc.com.au  
Post to: HSBC Share Trading  
GPO BOX 1630  
Sydney NSW 2001  
Fax to: +61 3 8663 2799

Signature(s)

HSBC Share Trading is a service provided by Third Party Platform Pty Ltd ("TPP") ABN 74 121 227 905 AFSL No. 314341, at the request of HSBC Bank Australia Limited ABN 48 006 434 162 AFSL 232595 ("HSBC"). Bell Financial Group Ltd (ASX: BFG) owns approximately 45% of Third Party Platform Pty Ltd, which is a Participant of the ASX Group. For a copy of the terms and conditions relating to the HSBC Share Trading service and the Financial Services Guides for Third Party Platform Pty Ltd and HSBC contact us on 1300 782 811 or + 613 8663 2766. Neither TPP nor HSBC are representatives of each other. To the extent permitted by law, HSBC will not guarantee or otherwise support TPP's obligations under the contracts or agreements connected with the HSBC Share Trading service. HSBC receives a commission from TPP for each client referral provided to TPP. TPP and HSBC does not provide investment advice in relation to HSBC Share Trading. You should consider your own financial situation, particular needs and investment objectives before acting on any of the information available on this website. HSBC does not guarantee or accept liability for any products offered by Third Party Platform Pty Ltd.



## Partly Paid Security Client Agreement (ASIC Market Integrity Rule 3.1.9)

Please provide signatures of all persons authorised to operate the account.

\_\_\_\_\_  
(Individual/Applicant 1 or Director of Company)

Date 

D	D	/	M	M	/	Y	Y	Y	Y
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\_\_\_\_\_  
(Applicant 2/Director or Secretary of Company)

Date 

D	D	/	M	M	/	Y	Y	Y	Y
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