

**Client agreement**

I/We (full names)

Of (address)

Account number:

hereby declare that:

1. I/We have received and read a copy of the Explanatory Booklet issued by the Australian Stock Exchange Limited (the ASX) in respect of warrants.
2. I/We am/are aware that a warrant has a limited life and cannot be traded after its expiry date.
3. I/We am/are aware that Warrants do not have standardised Terms of Issue and acknowledge that it is my/our responsibility to become aware of the Terms of Issue of any Warrant in which I choose to invest.
4. I/We am/are aware that warrants may be subject to adjustments after their initial issue. I/We acknowledge that it is my/our responsibility to become aware of any adjustments which may have been made to any Warrant in which I choose to invest.
5. I/We am/are aware that admission to trading status of a warrant does not imply that the ASX or the Securities Exchanges Guarantee Corporations Limited gives any guarantee or warranty as to the viability of the Warrant-Issuer or Guarantor.
6. I/we acknowledge that failure of the Warrant-Issuer or the Guarantor (if applicable) to fulfill their obligations does not give rise to claim against ASX, handling Market Participants or the Securities Exchanges Guarantee Corporations Limited.

Signed this date:

Executed as a legally binding contract.

Notice: Before you sign please contact a Client Services Officer of HSBC Share Trading if you require an explanation of the effect of the terms and conditions of this Warrant Client Agreement Form.

Third Party Platform Pty Ltd Participant of the ASX Group  
ABN: 74 121 227 905 AFS Licence No: 314341

**Attention: New Accounts**

Email to: [support@sharetrading.hsbc.com.au](mailto:support@sharetrading.hsbc.com.au)

Post to: HSBC Online Share Trading

GPO BOX 1630

Sydney NSW 2001

Fax to: +61 3 8663 2799

HSBC Online Share Trading is a service provided by Third Party Platform Pty Ltd ("TPP") ABN 74 121 227 905 AFSL No. 314341, at the request of HSBC Bank Australia Limited ABN 48 006 434 162 AFSL 232595 ("HSBC"). Bell Financial Group Ltd (ASX: BFG) owns approximately 57% of Third Party Platform Pty Ltd, which is a Participant of the ASX Group. For a copy of the terms and conditions relating to the HSBC Online Share Trading service and the Financial Services Guides for Third Party Platform Pty Ltd, contact us on 1300 782 811 or + 613 8663 2766. Neither TPP nor HSBC are representatives of each other. To the extent permitted by law, HSBC will not guarantee or otherwise support TPP's obligations under the contracts or agreements connected with the HSBC Online Share Trading service. HSBC receives a commission from TPP for each client referral provided to TPP. TPP and HSBC do not provide investment advice in relation to HSBC Online Share Trading. You should consider your own financial situation, particular needs and investment objectives before acting on any of the information available on this website. HSBC does not guarantee or accept liability for any products offered by Third Party Platform Pty Ltd.



# Warrant Client Agreement Form (ASX Market Rule 7.1.2)

## Signature(s):

Please provide signatures of all persons authorised to operate the account.

\_\_\_\_\_  
(Individual/Applicant 1 or Director of Company)

\_\_\_\_\_  
(Applicant 2/Director or Secretary of Company)

Date:   /   /

Date:   /   /

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